

FEB. 19. 2004 2:16PM

UNIVATION LAW DEPT

NO. 3531 P. 1

Univation Technologies, LLC 5555 San Felipe, 19<sup>th</sup> Floor, Houston, Texas 77056 United States of America

**Univation**  
TECHNOLOGIES

OFFICIAL

RECEIVED  
CENTRAL FAX CENTER

FEB 23 2004

Date: Thursday, February 19, 2004

Number of pages including cover sheet: 4

**TO: MAIL STOP NON-FEE AMENDMENT**

**Commissioner for Patents**

P.O. Box 1450

Alexandria, VA 22313-1450

Attn:

Telephone: (703) 308-4357

Facsimile (703) 872-9306

**FROM: OSBORNE K. MCKINNEY**

Univation Technologies, LLC

5555 San Felipe Road, Suite 1950

Houston, Texas 77056

Telephone (713) 892-3711

Facsimile (713) 892-3687

REMARKS:

☐ Urgent

☒ For your review

☐ Reply ASAP

☐ Please comment

RE: **U.S.S.N.: 10/715,651**

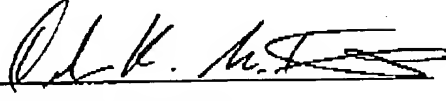
**Filing Date: 11/17/2003**

**Attorney Docket No.: 2002U016.US**

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence, consisting of a Supplemental Information Disclosure Statement and a 1449 are being facsimile transmitted to the United States Patent and Trademark Office, to facsimile number (703) 872-9306, on Thursday, February 19, 2004.

2-19-04  
Date

  
Osborne K. McKinney  
Registration No. 40,084  
Attorney for Applicant(s)

The information contained in this transmission is intended for the sole use of the individual or entity to whom it is addressed. It may contain information that is privileged, confidential and/or exempt from disclosure under applicable law. If you are not the intended recipient, you are hereby notified that you are not authorized to review the following pages and that the dissemination, distribution, or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by collect call and return this original transmission to us by mail at the above address.

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>			<b>Attay. Docket No. 2002U016.US</b>
<b>In Re Application of: Kersten A. Terry et al.</b>			
<b>U.S. Serial No. 10/715,651</b>	<b>Filing Date November 17, 2003</b>	<b>Examiner Unassigned</b>	<b>Group Art Unit Unassigned</b>

Address to:  
Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or  
☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.


☐ Legible copies of the references are enclosed.

☐ This application is a:  
☐ Continuation / Divisional,  
☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_ Copies of the cited references are  
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 50-0589.

2-19-04  
Date

  
Osborne K. McKinney  
Registration No. 40,084  
Univation Technologies, L.L.C.  
5555 San Felipe, Suite 1950  
Houston, Texas 77056-2723  
(713) 892-3711 Voice  
(713) 892-3687 Facsimile

FORM - 1449

SHEET 1 OF 1

<b>INFORMATION DISCLOSURE STATEMENT</b>  <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO.		SERIAL NO.	
				2002U016.US		10/715,651	
				APPLICANT			
				Kersten A. Terry, et al.			
				FILING DATE		GROUP	
11/17/2003		Unassigned					
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,284,849	09/04/2001	Almquist et al.	526	82	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES   NO
	AL						
	AM						
	AN						
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)							
	AO						
	AP						
EXAMINER				DATE CONSIDERED			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant							